

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Bang LUU, et al.

SERIAL NO: 10/550,305

GAU:

FILED: September 22, 2005

EXAMINER:

FOR: PROCESS FOR PRODUCING CYCLOHEXENONE LONG-CHAIN ALCOHOLS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

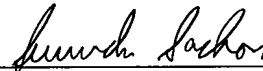
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 278237US0PCT		SERIAL NO. 10/550,305	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Bang LUU, et al.			
				FILING DATE September 22, 2005		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 228 893	05/08/01	LUU et al.			
	AB	2004/0152786	08/05/04	LUU et al.			
	AC	2004/0115810	06/17/04	LUU et al.			
	AD	2004/0102527	05/27/04	MIYAGAWA et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	2001-515058	09/18/01	JP (equivalent of US 6228893)		NO	
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/550,305
Docket No.: 278237US0PCT

STATEMENT OF RELEVANCY

- 1) References _____ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) Reference AO is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

		Docket No.: 278237US0PCT		Serial No.: 10/550,305	
.LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56		Inventor: Bang LUU, et al.			
		Filing Date: September 22, 2005		Group:	
<u>LIST OF RELATED CASES</u>					
<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	278237US0PCT*	10/550,305	09/22/05		LUU, et al.
	7218-0010-0PCT	6,228,893	05/08/01		LUU, et al.
	211815US0PCT	09/890,969	04/11/02		LUU, et al.
	245305US0PCT	10/478,477	11/21/03	US2004/0152786 A1	LUU, et al.
	236049US0PCT	10/381,586	08/18/03	US2004/0115810 A1	LUU, et al.
	241202US0PCT	10/467,063	12/31/03	US2004/0102527 A1	MIYAGAWA, et al.
Examiner	Date Considered				
<p>*Present Application; listed for information NFO/hah</p>					